



UNITED STATES PARTMENT OF COMMERCE Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

NOVEMBER 19, 2001

PTAS

WIGGIN & DANA TODD E. GARABEDIAN ONE CENTURY TOWER NEW HAVEN, CT 06508-1832



101850155A

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/14/2001

REEL/FRAME: 012165/0429

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HANGELAND, JON

DOC DATE: 09/04/2001

ASSIGNOR:

ZHANG, MINSHENG

DOC DATE: 09/04/2001

ASSIGNOR:

CARINGAL, YOLANDA

DOC DATE: 09/04/2001

ASSIGNOR:

RYONO, DENIS

DOC DATE: 09/04/2001

ASSIGNOR:

LI, YI-LIN

DOC DATE: 08/20/2001

ASSIGNOR:

MALM, JOHAN

DOC DATE: 08/20/2001

ASSIGNOR:

LIU, YE

DOC DATE: 08/22/2001

012165/0429 PAGE 2

ASSIGNOR:

GARG, NEERAJ

DOC DATE: 08/20/2001

ASSIGNOR:

LITTEN, CHRIS

DOC DATE: 08/20/2001

ASSIGNOR:

COLLAZO, ANA MARIA GARCIA

DOC DATE: 08/22/2001

ASSIGNOR:

KOEHLER, KONRAD

DOC DATE: 08/22/2001

ASSIGNEE:

KARO BIO AB NOVUM, S-141 57 HUDDINGE, SWEDEN

SERIAL NUMBER: 09868889

PATENT NUMBER:

FILING DATE: 09/14/2001

ISSUE DATE:

DOROTHY RILEY, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

g 09-20-2001 FORM PTO-1595

U.S. Department of Commerce Patent and Trademark Office

9-14-0 RECULTUT8501 PATEN	55 SHEET SEP
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof	
Name of conveying party(ies):	2. Name & address of receiving party(ies):
1. JON HANGELAND 2. MINSHENG ZHANG	Name: KARO BIO AB Novum, S-141 57 Huddinge, Sweden
3. YOLANDA CARINGAL 4. DENIS RYONO	·
5. YI-LIN LI 6. JOHAN MALM	
7. YE LIU 8. NEERAJ GARG	
9. CHRIS LITTEN 10. ANA MARIA GARCIA COLLAZO	Additional names & addresses attached? [] Yes [X] No
11. KONRAD KOEHLER	[] Tes [X] No
3. Nature of conveyance:	
[X] ASSIGNMENT [] Other	
Execution date(s): (1) September 4, 2001 (2) September 4, 2001 (3) September 4, 2001 (4) September 4, 2001 (5) August 20, 2001 (6) August 20, 2001 (7) August 22, 2001 (8) August 20, 2001 (9) August 20, 2001 (10) August 22, 2001 (11) August 22, 2001	
4. Application Number(s) or Patent Number(s) 09/868,889 If this document is being filed together with a new application, the execution date of the Application is:	
A. Patent Application No.(s)	B. Patent No.(s)
U.S. Serial No.: <u>09/868,889</u>	
5. Name & address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: { 1 }
Name: TODD E. GARABEDIAN, Ph.D. WIGGIN & DANA	7. Total Fee (37 CFR 3.41): \$40.00
ONE CENTURY TOWER, NEW HAVEN,	[] Enclosed
CONNECTICUT 06508-1832	[XX] Authorized to be charged to Deposit Account
8. Deposit Account No: 23-1665 (Attach duplicate copy of this page if paying by Deposit Account)	
DO NOT USE THIS SPACE 40 É	
9. Statement and signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.	
Todd E. Garabedian, Ph.D. Name of person signing Signature Date: LO SE PT Z OO	
Total number of pages comprising cover sheet {1 }	

6@QC01!.DOC\10706\52\293844.01

ASSIGNMENT

WHEREAS, WE: JON HANGELAND, MINSHENG ZHANG, YOLANDA CARINGAL, DENIS RYONO, YI-LIN LI, JOHAN MALM, E LIU, NEERAG GARG, CHRIS LITTEN, ANA MARIA GARCIA COLLAZO and KONRAD KOEHLER, residing at 234 Louise Drive, Morrisville, PA 19067; 31 Scheurman Terrace, Warren, NJ 07059; 24 Coral Tree Ct., Lawrenceville, NJ 08648; 28; 28 Marion Road West, Princeton, NJ 08540; Kallbrinksvagen 38C, S-141 31, Huddinge, Sweden; Korsangarvagan 53, S-142 40, Skogas, Sweden; Smedvagen 23, S-146 36, Tullinge, Sweden; Barkvagen 15, S-147 52, Tumba, Sweden; Stubbestigen 6, S-147 52, Tumba, Sweden; Moregatan 10 S-118 27, Stockholm, Sweden; and Visatravagen 27, S-141 50, Huddinge, Sweden, respectively,

have invented certain new and useful improvements in

NOVEL THYROID RECEPTOR LIGANDS AND METHOD

Which WE:

- () have executed an application for Letters Patent of the United States of even date herewith;
- (X) have filed an application for Letters Patent of the United States on <u>June 20, 2001</u> under Serial No. <u>09/868,889</u> and not amended.

WHEREAS, KARO BIO AB of Huddinge, Sweden is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said KARO BIO AB, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof; and we hereby authorize and request the Commissioner of Patents of the United States and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvement to the said KARO BIO AB, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to said KARO BIO AB, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said KARO BIO AB, its successors, legal representatives and assigns to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, WE hereunto set our hand and seal the day and year set opposite our signatures. September, 2001 L.S. Date: 4 September , 2001 They Mour MINSHENG ZHANG L.S. Date: 4 figt , 2001 polarla v. carry (YOLANDA CARINGAL) L.S. L.S. L.S. Date: 20 Aug - , 2001 2001 L.S. Date: 22 - Aug , 2001 L.S. Date: 20 Ang ,2001 Neeraj Garg L.S. Date: 70 A 01 , 2001 L.S. Date: 22 Argust , 2001 Chr L.S. Date: 22 August , 2001 Yoursel L.S.